

STATE OF CALIFORNIA  
Budget Change Proposal - Cover Sheet  
DF-46 (REV 08/16)

Fiscal Year 2017-18	Business Unit 2660	Department Transportation	Priority No.
Budget Request Name 2660-303-BCP-2017-A1		Program 1850010 - EQUIPMENT	Subprogram

Budget Request Description  
Claims Payment Increase

Budget Request Summary

The California Department of Transportation (Caltrans) requests a one-time increase in State Highway Account funds for fleet insurance costs.

Requires Legislation <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Code Section(s) to be Added/Amended/Repealed	
Does this BCP contain information technology (IT) components? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If yes, departmental Chief Information Officer must sign.</i>	Department CIO	Date

For IT requests, specify the project number, the most recent project approval document (FSR, SPR, S1BA, S2AA, S3SD, S4PRA), and the approval date.

Project No.

Project Approval Document:

Approval Date:

If proposal affects another department, does other department concur with proposal? ☐ Yes ☐ No  
*Attach comments of affected department, signed and dated by the department director or designee.*

Prepared By <i>Robert S. Myers</i> ROBERT S. MYERS	Date 4/1/2017	Reviewed By <i>Clark Paulsen</i> CLARK PAULSEN	Date 4/1/2017
Department Director <i>Malcolm Dougherty</i> MALCOLM DOUGHERTY	Date 4/1/2017	Agency Secretary <i>Brian P. Kelly</i> BRIAN P. KELLY	Date 4/1/2017

Department of Finance Use Only

Additional Review: ☐ Capital Outlay ☐ ITCU ☐ FSCU ☐ OSAE ☐ CALSTARS ☐ Dept. of Technology

BCP Type: ☐ Policy ☐ Workload Budget per Government Code 13308.05

PPBA	Original Signed By: Steve Wells	Date submitted to the Legislature April 1, 2017
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## Analysis of Problem

### A. Budget Request Summary

The California Department of Transportation (Caltrans) requests a one-time operating expense increase of \$5,515,000 in State Highway Account (SHA) Funds for fleet insurance costs.

The Department of General Services' (DGS) Office of Risk and Insurance Management (ORIM) recently notified Caltrans that the motor vehicle insurance premium assessment cost will increase by \$5.5 million during fiscal year 2017-18. This one-time funding increase is necessary for Caltrans to align its insurance budget to actual costs.

### B. Background/History

All state agencies must carry motor vehicle insurance. California Government Code Section 11290 identifies DGS' ability to assess the cost of liability insurance.

DGS administers the State Motor Vehicle Insurance Account (MVIA), which provides self-insured liability coverage for state agencies and employees who operate vehicles on state business. State MVIA funds are used to pay claims for damages as a result of the ownership or operation of motor vehicles used in the course of state employment. Funding for the State MVIA is replenished through assessments charged to state agencies that own vehicles and equipment. These assessments reflect the projected amount to be expended to pay claim settlements and administrative expenses.

The Equipment Program provides equipment services, which includes supplying equipment and administering equipment related costs (e.g., fuel, insurance, etc.), to programs and divisions within Caltrans.

**Resource History**  
(Dollars in thousands)

Program Budget	FY 2011-12	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16
Authorized Expenditures	\$254,121	\$185,383	\$185,325	\$177,235	\$190,776
Actual Expenditures	\$253,834	\$184,970	\$185,324	\$176,898	\$190,713
Revenues	N/A	N/A	N/A	N/A	N/A

### C. State Level Considerations

The provision of insured equipment and vehicles supports Caltrans' priorities and each of the departmental goals with an emphasis on Safety and Health, Stewardship and Efficiency, Sustainability, Livability and Economy, and System Performance. Other state agencies depend on Caltrans to ensure that California roadways are safe and dependable.

### D. Justification

On March 10, 2017, DGS issued a Premium Briefing Summary (see *Attachment 1*) to Caltrans. The report provides analysis of the MVIA fund status in addition to claim and loss history. Each state agency's premium assessment is based on claim experience for the last five calendar years. Caltrans losses in 2017-2018 increased from \$27,336,523 to \$32,461,528 which represents an increase of \$5,125,005. This increase stems from several open claims involving serious injury during the loss period. As a result, Caltrans is faced with paying a higher insurance premium for 2017-2018. The increase in premiums assessed is required to maintain sufficient funding in the MVIA to pay existing claims and fees.

The DGS insurance premium assessment reflects the projected amount to be expended to pay claim settlements and administrative expenses. Due to the variable nature of loss history and claims, Caltrans is requesting a one-time funding supplement as insurance premiums can increase or decrease on an annual basis. Yearly review is done between DGS and Caltrans to ensure sufficient fund reserves are met within the MVIA. It should be noted that the assessment does not represent a dollar-for-dollar payout on those claims.

## Analysis of Problem

DGS recently became aware of an outstanding \$4.25 million claim (#13059625) to be settled in the near future, which will be paid out through the MVIA fund. The claim was not calculated into Caltrans' 2017-2018 insurance premium of \$10,328,901. As a result, DGS will assess a one-time charge of \$4.25 million against Caltrans to maintain a sufficient balance in the MVIA fund to award these settlements.

Due to workload constraints and equipment replacement costs associated with Caltrans' aging fleet, these funds cannot be redirected internally without harming Caltrans' goal of maintaining a safe and reliable fleet to ensure both employee and public safety. Therefore, Caltrans is seeking a one-time increase of \$5.5 million for 2017-2018 to ensure sufficient funding is available in the MVIA program to cover settlement costs. Please see the breakdown below:

2017-18 Caltrans Annual Insurance Premium: \$10,328,901

2017-18 Outstanding Claim (#13059625): \$ 4,250,000

**2017-18 Insurance Premium Total: \$14,578,901**

### Motor Vehicle Premium Assessment for Caltrans

Fiscal Year	Insurance Rate
2015-16	\$ 9,164,099
2016-17	\$ 9,064,337
2017-18	\$ 14,578,901
Difference	\$ 5,514,564

## E. Outcomes and Accountability

Approving this request will improve Caltrans' operational efficiency; it will assure that Caltrans has sufficient funding to cover insurance costs in 2017-18. Caltrans uses insurance every day for its transportation services, and has very little control over the unpredictable damage claims DGS may receive. Caltrans staff that operate motor vehicles are required to participate in defensive drivers' training every four years to reduce the number, severity, and cost of vehicle-related collisions.

## F. Analysis of All Feasible Alternatives

**Alternative 1:** Approve a one-time increase of \$5,515,000 in operating expenses for fleet insurance costs.

Pros:

- Aligns insurance funding with expected 2017-18 costs.
- Ensures that Caltrans has the required vehicle insurance to work toward its mission.

Cons:

- Increases State funding.

**Alternative 2:** Redirect insurance costs to other programs.

Pros:

- Ensures that Caltrans has the required vehicle insurance to work toward its mission.
- Applies costs to vehicle operators' programs and promotes accountability.

Cons:

- Reduces funding for other programs to carry out their essential services.
- Requires DGS to report each claim on an individual basis and per employee, which may not be reliable or feasible.

## **Analysis of Problem**

**Alternative 3:** Redirect the insurance costs to other Equipment Program areas, primarily equipment replacement funds.

**Pros:**

- Ensures that Caltrans has the required vehicle insurance to work toward its mission.

**Cons:**

- Caltrans' essential equipment for maintaining a safe and operable transportation system would decrease.
- Replacement of other priority fleet equipment would be delayed, causing an escalation of repair costs to maintain older units in the fleet and increasing the replacement backlog.
- Caltrans' annual equipment replacement budget is insufficient to cover all replacement needs; equipment would be taken out of service and not replaced.

## **G. Implementation Plan**

July 1, 2017

## **H. Supplemental Information**

None.

## **I. Recommendation**

Caltrans recommends approval of Alternative 1, a one-time operating expense increase of \$5,515,000 in SHA funds for fleet insurance costs.

# Caltrans Premium Briefing

Provided by:  
Department of General Services  
Office of Risk and Insurance Management (ORIM)

Kim Hunt, Office Chief  
March 10, 2017

# Highlight Report

## 1. Purpose

The Office of Risk and Insurance Management (ORIM) administers the State Motor Vehicle Liability Self-Insurance Program (VELSIP), which provides unlimited self-insured liability coverage for the state, agencies, and employees who operate covered self-propelled land vehicles on state business (California Vehicle Code Sections 17000 and 17001). As such, ORIM collects premiums from State Agencies to cover the costs of investigation, adjustment, defense and administration in accordance with Government Code Section 16378 that created the Motor Vehicle Insurance Account (MVIA).

The purpose of this report is to provide the State of California, Department of Transportation (Caltrans) with information regarding their MVIA premiums and details regarding their claims and loss history.

## 2. Content

- Caltrans premium calculation
- Governing Authorities
- Caltrans Loss History
- Caltrans open claims detail

## 3. Caltrans Premium Calculation

The Department of General Services (DGS) administers the State Motor Vehicle Insurance Account (MVIA). The DGS issues management memos each fiscal year to provide State agencies with information on their annual MVIA premium assessment which is utilized to pay claims for damages as a result of the ownership or operation of motor vehicles used in the course and scope of State employment.

Each State agency's premium assessment is based on claim experience for the previous five calendar years. State agencies that own more than 300 vehicles are rated on their own claims experience; State agencies with fewer than 300 vehicles are grouped together and experience-rated as though they were one entity. Currently, Caltrans has approximately 10,218 vehicles in its fleet. See sections further in this document for loss history.

Factors that have caused an increase for the Caltrans:

- **The primary factor is Caltrans losses**  
When assessments are calculated, 5 year loss data is utilized. As a result, Caltrans losses in the 2017-2018 FY went from \$27,336,523 to \$32,461,528 which represents an increase of \$5,125,005. The increase in reserves is a result of several open claims

involving serious injury during the loss period. Additional detail is provided under Section 6. Caltrans Open Claims.

#### 4. Governing Authorities

- **Government Code Section 16378 – Creation**

The State Motor Vehicle Insurance Account is hereby created as a special account in the General Fund.

- **Government Code Section 16379 – Deposits; continuous appropriation**

Amounts collected by the Department of General Services pursuant to subdivision (a) of Section 11290 shall be deposited in the account and such amounts are continuously appropriated for the purposes set forth in subdivision (a) of Section 11290.

- **Government Code Section 11290 – Motor Vehicle insurance or claims payments**

The Department of General Services may fix the cost or pro rata share, or in its discretion an amount it considers equivalent to the cost or pro rata share, and collect from each state agency in advance or upon any other basis that it may determine:

(a) The cost of insuring motor vehicles belonging to the state agency against liability for damages resulting from the ownership or operation of motor vehicles and arising under Article 1 (commencing with Section 17000) of Chapter 1 of Division 9 of the Vehicle Code or an amount to be expended by the Department of General Services in accordance with law in paying claims under that article and for their investigation, adjustment, defense and administration.

- **Insurance Code Section 557.5**

(b) (1) Notwithstanding any other provision of law or any provision in a private passenger motor vehicle owner's automobile liability insurance policy, in the event of a loss or injury that occurs as the result of an accident during any time period when that private passenger motor vehicle is operated by an employee who is a peace officer, member of the Department of the California Highway Patrol, or firefighter and is used by him or her at the request or direction of the employer in the performance of the employee's duty, the vehicle's owner shall have no liability. The peace officer, member of the Department of the California Highway Patrol, or firefighter shall report and provide, within 10 days of the accident, to his or her private automobile insurer all documentation and information known to him or her related to the accident. The employee shall be considered the owner of the vehicle for the purpose of any liability and defense of the claim, and any losses shall be borne solely by the employer.

(2) Notwithstanding any other provision of law, the employer shall assume liability for and defense of a claim in which a dispute exists as to whether the employer directed or

requested the employee to use the private passenger motor vehicle when the loss occurred that gave rise to the claim.

- **State Administrative Manual:**

Section 2420 – Motor Vehicle Liability Self-Insurance Program

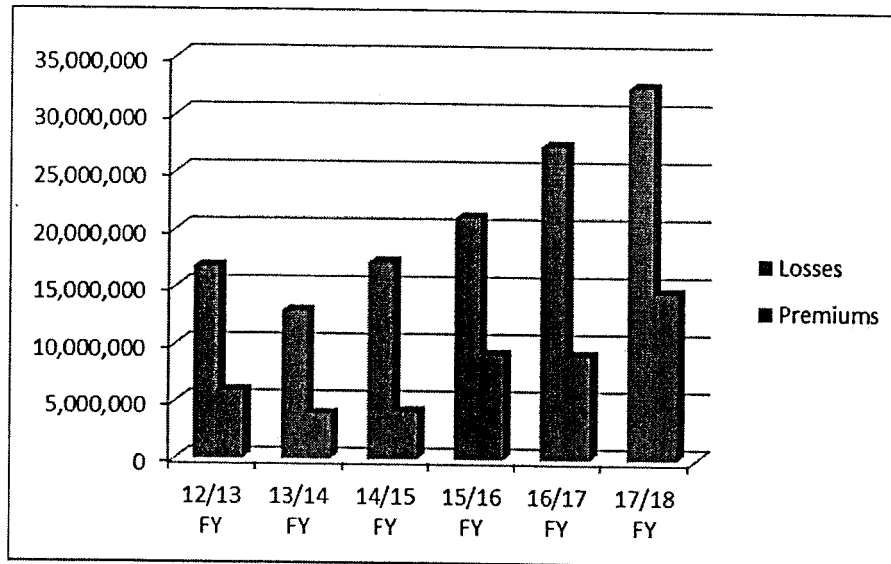
Section 2430 – Motor Vehicle Accidents and Reporting

Section 2440 – Supervisors Review and Police Reports



## 5. Caltrans Loss History

The following chart represent Caltrans' 6-year loss history from FY 12/13 through FY 17/18 compared to the premium assessment. Please note that 17/18 includes a partial payment for claimant #13059625.



The following charts show severity and frequency for the past 5 years based on cause, broken down by District.

### Severity

#### District 1:

District 1 2012-2016 Ranking Analysis (Severity)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 1st District					
S.V. struck OP-Unsafe turn	6	10.17%	\$8,543,158.08	96.17%	\$1,423,859.68
OP struck S.V.-Rearended SV on freeway	3	5.08%	\$80,630.00	0.91%	\$26,876.67
OP struck S.V.-Sideswiped SV	4	6.78%	\$75,000.00	0.84%	\$18,750.00
S.V. struck OP-Unsafe lane change	4	6.78%	\$60,225.67	0.68%	\$15,056.42
S.V. struck OP-Failure to yield right of way	3	5.08%	\$40,000.00	0.45%	\$13,333.33
S.V. struck OP-Unsafe backing	5	8.47%	\$17,538.89	0.20%	\$3,507.78
Caltrans no v. contact-Dropped load/debris from v.	8	13.56%	\$16,965.10	0.19%	\$2,120.64
S.V. struck OP-Rearended OP at intersection	11	18.64%	\$16,186.49	0.18%	\$1,471.50
S.V. struck OP-Sideswiped OP	3	5.08%	\$10,982.23	0.12%	\$3,660.74
Caltrans s/plow or sander-Plow pushes snow into OP	1	1.69%	\$10,000.00	0.11%	\$10,000.00
All Others	11	18.64%	\$12,996.78	0.15%	\$1,181.53
Total (Hierarchy Org Level 2) : 1st District	59		\$8,883,683.24		\$150,570.90

## District 2:

District 2 2012-2016 Ranking Analysis (Severity)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 2nd District					
S.V. struck OP-Unsafe turn	4	2.53%	\$38,374.66	18.36%	\$9,593.67
S.V. struck OP-Failure to yield right of way	3	1.90%	\$25,542.77	12.22%	\$8,514.26
S.V. struck OP-Rearended OP on freeway	4	2.53%	\$20,862.06	9.98%	\$5,215.52
Caltrans s/plow or sander-Sanding caused dmg to OP	20	12.66%	\$20,154.11	9.64%	\$1,007.71
S.V. struck OP-Unsafe backing	11	6.96%	\$15,648.64	7.49%	\$1,422.60
Caltrans no v. contact-Lawn mower kicked up object	20	12.66%	\$12,705.41	6.08%	\$635.27
Caltrans no vehicle contact-Equipment failure	2	1.27%	\$10,000.00	4.78%	\$5,000.00
Caltrans no v. contact-Dropped load/debris from v.	17	10.76%	\$9,723.53	4.65%	\$571.97
Caltrans s/plow or sander-Plow pushes snow into OP	20	12.66%	\$8,882.53	4.25%	\$444.13
S.V. struck OP-Struck stationary object	3	1.90%	\$7,725.00	3.70%	\$2,575.00
All Others	54	34.18%	\$39,438.15	18.86%	\$730.34
Total (Hierarchy Org Level 2) : 2nd District	158		\$209,056.86		\$1,323.14

## District 3:

District 3 2012-2016 Ranking Analysis (Severity)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 3rd District					
S.V. struck OP-Struck stationary object	19	3.61%	\$699,014.20	37.65%	\$36,790.22
S.V. struck OP-Unsafe turn	20	3.80%	\$328,279.85	17.68%	\$16,413.99
S.V. struck OP-Unsafe lane change	19	3.61%	\$154,621.00	8.33%	\$8,137.95
Caltrans no v. contact-Lawn mower kicked up object	96	18.25%	\$110,029.39	5.93%	\$1,146.14
S.V. struck OP-Unsafe backing	44	8.37%	\$107,413.73	5.79%	\$2,441.22
S.V. struck OP-Failure to yield right of way	14	2.66%	\$101,249.95	5.45%	\$7,232.14
S.V. struck OP-Rearended OP on freeway	16	3.04%	\$71,879.80	3.87%	\$4,492.49
S.V. struck OP-Rearended OP at intersection	16	3.04%	\$42,418.22	2.28%	\$2,651.14
Caltrans s/plow or sander-Plow pushes snow into OP	28	5.32%	\$41,827.97	2.25%	\$1,493.86
Caltrans no v.c.-K. up debris from r.w. struck OP	71	13.50%	\$37,528.90	2.02%	\$528.58
All Others	183	34.79%	\$162,346.95	8.74%	\$887.14
Total (Hierarchy Org Level 2) : 3rd District	526		\$1,856,609.96		\$3,529.68

## District 4:

District 4 2012-2016 Ranking Analysis (Severity)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 4th District					
S.V. struck OP-Struck pedestrian	2	0.40%	\$1,520,000.00	36.69%	\$760,000.00
S.V. struck OP-Unsafe lane change	35	6.96%	\$839,079.95	20.26%	\$23,973.71
S.V. struck OP-Rearended OP at intersection	46	9.15%	\$396,197.60	9.56%	\$8,612.99
S.V. struck OP-Rearended OP on freeway	67	13.32%	\$336,733.72	8.13%	\$5,025.88
S.V. struck OP-Failure to yield right of way	28	5.57%	\$159,934.11	3.86%	\$5,711.93
OP struck S.V.-Failure to yield right of way	7	1.39%	\$151,019.55	3.65%	\$21,574.22
S.V. struck OP-Unsafe backing	45	8.95%	\$136,025.03	3.28%	\$3,022.78
S.V. struck OP-Unsafe turn	12	2.39%	\$111,041.65	2.68%	\$9,253.47
S.V. no contact-Equipment failure	4	0.80%	\$106,394.59	2.57%	\$26,598.65
Tow or push-Damage to OP vehicle	53	10.54%	\$77,997.33	1.88%	\$1,471.65
All Others	204	40.56%	\$307,989.61	7.44%	\$1,509.75
Total (Hierarchy Org Level 2) : 4th District	503		\$4,142,413.14		\$8,235.41

## District 5:

District 5 2012-2016 Ranking Analysis (Severity)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 5th District					
S.V. struck OP-Failure to yield right of way	4	3.57%	\$237,052.56	38.04%	\$59,263.14
S.V. struck OP-Rearended OP at intersection	11	9.82%	\$135,516.85	21.75%	\$12,319.71
S.V. struck OP-Unsafe backing	21	18.75%	\$49,057.51	7.87%	\$2,336.07
S.V. struck OP-Rearended OP on freeway	8	7.14%	\$43,070.87	6.91%	\$5,383.86
S.V. struck OP-Ran red light	6	5.36%	\$33,829.66	5.43%	\$5,638.28
S.V. struck OP-Sideswiped OP	7	6.25%	\$25,652.08	4.12%	\$3,664.58
S.V. struck OP-Struck pedestrian	2	1.79%	\$25,000.00	4.01%	\$12,500.00
S.V. struck OP-Unsafe lane change	5	4.46%	\$20,603.71	3.31%	\$4,120.74
S.V. struck OP-Unsafe turn	3	2.68%	\$17,358.56	2.79%	\$5,786.19
Caltrans no v. contact-Lawn mower kicked up object	13	11.61%	\$10,127.29	1.63%	\$779.02
All Others	32	28.57%	\$25,856.18	4.15%	\$808.01
Total (Hierarchy Org Level 2) : 5th District	112		\$623,125.27		\$5,563.62

## District 6:

District 6 2012-2016 Ranking Analysis (Severity)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 6th District					
OP struck S.V.-Rearended SV on freeway	11	6.32%	\$700,000.00	67.28%	\$63,636.36
S.V. struck OP-Rearended OP on freeway	8	4.60%	\$74,624.59	7.17%	\$9,328.07
S.V. struck OP-Rearended OP at intersection	16	9.20%	\$72,786.59	7.00%	\$4,549.16
Caltrans no v. contact-Lawn mower kicked up object	43	24.71%	\$41,742.53	4.01%	\$970.76
S.V. struck OP-Failure to yield right of way	12	6.90%	\$37,912.35	3.64%	\$3,159.36
Caltrans no v. contact-Dropped load/debris from v.	15	8.62%	\$18,688.94	1.80%	\$1,245.93
S.V. struck OP-Unsafe backing	20	11.49%	\$15,994.34	1.54%	\$799.72
S.V. struck OP-Sideswiped OP	12	6.90%	\$13,952.82	1.34%	\$1,162.74
OP struck S.V.-Failure to yield right of way	2	1.15%	\$11,838.45	1.14%	\$5,919.23
S.V. struck OP-Unsafe lane change	2	1.15%	\$10,700.45	1.03%	\$5,350.23
All Others	33	18.97%	\$42,181.23	4.05%	\$1,278.22
Total (Hierarchy Org Level 2) : 6th District	174		\$1,040,422.29		\$5,979.44

## District 7:

District 7 2012-2016 Ranking Analysis (Severity)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 7th District					
S.V. struck OP-Rearended OP on freeway	91	17.88%	\$7,293,603.46	44.44%	\$80,149.49
S.V. struck OP-Ran red light	4	0.79%	\$4,281,550.99	26.08%	\$1,070,387.75
OP struck S.V.-Unsafe lane change	27	5.30%	\$1,904,425.16	11.60%	\$70,534.27
OP struck S.V.-Rearended SV on freeway	20	3.93%	\$998,891.49	6.09%	\$49,944.57
S.V. struck OP-Unsafe lane change	41	8.06%	\$348,465.61	2.12%	\$8,499.16
OP struck S.V.-Failure to yield right of way	11	2.16%	\$318,837.90	1.94%	\$28,985.26
S.V. struck OP-Unsafe turn	19	3.73%	\$306,472.50	1.87%	\$16,130.13
S.V. struck OP-Failure to yield right of way	34	6.68%	\$190,613.78	1.16%	\$5,606.29
S.V. struck OP-Rearended OP at intersection	47	9.23%	\$144,285.37	0.88%	\$3,069.90
S.V. struck OP-Unsafe backing	49	9.63%	\$117,549.39	0.72%	\$2,398.97
All Others	166	32.61%	\$509,328.56	3.10%	\$3,068.24
Total (Hierarchy Org Level 2) : 7th District	509		\$16,414,024.21		\$32,247.59

## District 8:

District 8 2012-2016 Ranking Analysis (Severity)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 8th District					
S.V. struck OP-Rearended OP on freeway	25	10.00%	\$2,116,743.18	49.83%	\$84,669.73
OP struck S.V.-Ran red light	2	0.80%	\$1,225,000.00	28.84%	\$612,500.00
S.V. no contact-S.V. causes OP distraction	4	1.60%	\$275,000.00	6.47%	\$68,750.00
S.V. struck OP-Rearended OP at intersection	23	9.20%	\$170,087.02	4.00%	\$7,395.09
S.V. struck OP-Failure to yield right of way	6	2.40%	\$80,006.00	1.88%	\$13,334.33
S.V. struck OP-Struck stationary object	13	5.20%	\$76,634.41	1.80%	\$5,894.95
S.V. struck OP-Unsafe lane change	14	5.60%	\$56,391.78	1.33%	\$4,027.98
S.V. struck OP-Unsafe backing	27	10.80%	\$49,401.53	1.16%	\$1,829.69
OP struck S.V.-Sideswiped SV	4	1.60%	\$30,000.00	0.71%	\$7,500.00
OP struck S.V.-Rearended SV on freeway	11	4.40%	\$21,640.20	0.51%	\$1,967.29
All Others	121	48.40%	\$147,139.41	3.46%	\$1,216.03
Total (Hierarchy Org Level 2) : 8th District	250		\$4,248,043.53		\$16,992.17

## District 9:

District 9 2012-2016 Ranking Analysis (Severity)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 9th District					
Caltrans snow plow or sander-Struck parked vehicle	5	12.82%	\$65,750.00	46.69%	\$13,150.00
S.V. struck OP-Failure to yield right of way	1	2.56%	\$34,057.72	24.18%	\$34,057.72
Caltrans s/plow or sander-Sanding caused dmg to OP	1	2.56%	\$7,880.25	5.60%	\$7,880.25
S.V. struck OP-Rearended OP at intersection	1	2.56%	\$6,875.78	4.88%	\$6,875.78
Caltrans no v. contact-Lawn mower kicked up object	6	15.38%	\$6,115.78	4.34%	\$1,019.30
Caltrans no vehicle contact-Overspray	10	25.64%	\$4,545.85	3.23%	\$454.59
Caltrans snow plow or sander-Sideswiped OP	2	5.13%	\$4,000.00	2.84%	\$2,000.00
S.V. struck OP-Unsafe backing	2	5.13%	\$3,543.00	2.52%	\$1,771.50
Caltrans s/plow or sander-Plow pushes snow into OP	1	2.56%	\$2,928.01	2.08%	\$2,928.01
Caltrans no v.c.-K. up debris from r.w. struck OP	3	7.69%	\$1,826.20	1.30%	\$608.73
All Others	7	17.95%	\$3,313.89	2.35%	\$473.41
Total (Hierarchy Org Level 2) : 9th District	39		\$140,836.48		\$3,611.19

## District 10:

District 10 2012-2016 Ranking Analysis (Severity)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 1 : Transportation					
Hierarchy Org Level 2 : 10th District					
S.V. struck OP-Failure to yield right of way	12	6.19%	\$364,969.77	42.60%	\$30,414.15
OP struck S.V.-Sideswiped SV	4	2.06%	\$100,000.00	11.67%	\$25,000.00
S.V. struck OP-Unsafe lane change	6	3.09%	\$89,605.55	10.46%	\$14,934.26
S.V. struck OP-Unsafe backing	20	10.31%	\$45,833.62	5.35%	\$2,291.68
S.V. struck OP-Rearended OP at intersection	13	6.70%	\$45,343.55	5.29%	\$3,487.97
Tow or push-Damage to OP vehicle	3	1.55%	\$35,659.85	4.16%	\$11,886.62
Caltrans snow plow or sander-Struck parked vehicle	5	2.58%	\$31,003.36	3.62%	\$6,200.67
S.V. struck OP-Rearended OP on freeway	5	2.58%	\$25,145.77	2.94%	\$5,029.15
Caltrans no v. contact-Lawn mower kicked up object	42	21.65%	\$21,314.62	2.49%	\$507.49
S.V. struck OP-Struck stationary object	5	2.58%	\$20,063.19	2.34%	\$4,012.64
All Others	79	40.72%	\$77,703.69	9.07%	\$983.59
Total (Hierarchy Org Level 2) : 10th District	194		\$856,642.97		\$4,415.69

## District 11:

District 11 2012-2016 Ranking Analysis (Severity)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 11th District					
S.V. struck OP-Rearended OP on freeway	14	7.69%	\$85,645.78	23.39%	\$6,117.56
S.V. struck OP-Rearended OP at intersection	11	6.04%	\$65,306.54	17.84%	\$5,936.96
Caltrans no v. contact-Dropped load/debris from v.	25	13.74%	\$47,282.31	12.91%	\$1,891.29
S.V. struck OP-Unsafe turn	6	3.30%	\$42,513.10	11.61%	\$7,085.52
S.V. struck OP-Unsafe backing	27	14.84%	\$32,650.37	8.92%	\$1,209.27
S.V. struck OP-Unsafe lane change	8	4.40%	\$21,096.34	5.76%	\$2,637.04
S.V. struck OP-Struck stationary object	11	6.04%	\$20,403.69	5.57%	\$1,854.88
S.V. struck OP-Failure to yield right of way	5	2.75%	\$13,175.93	3.60%	\$2,635.19
S.V. struck OP-Ran red light	1	0.55%	\$9,158.24	2.50%	\$9,158.24
S.V. struck OP-Sideswiped OP	7	3.85%	\$6,539.26	1.79%	\$934.18
All Others	67	36.81%	\$22,373.03	6.11%	\$333.93
Total (Hierarchy Org Level 2) : 11th District	182		\$366,144.59		\$2,011.78

## District 12:

District 12 2012-2016 Ranking Analysis (Severity)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 12th District					
S.V. no contact-Failure to yield right of way	1	0.88%	\$1,005,533.95	67.05%	\$1,005,533.95
S.V. struck OP-Unsafe lane change	9	7.96%	\$153,528.64	10.24%	\$17,058.74
S.V. struck OP-Rearended OP on freeway	16	14.16%	\$135,248.98	9.02%	\$8,453.06
S.V. struck OP-Rearended OP at intersection	18	15.93%	\$52,845.38	3.52%	\$2,935.85
S.V. struck OP-Unsafe backing	8	7.08%	\$38,464.67	2.56%	\$4,808.08
S.V. struck OP-Sideswiped OP	8	7.08%	\$35,356.96	2.36%	\$4,419.62
S.V. struck OP-Failure to yield right of way	3	2.65%	\$29,343.50	1.96%	\$9,781.17
S.V. struck OP-Unsafe turn	3	2.65%	\$19,642.79	1.31%	\$6,547.60
OP struck S.V.-Sideswiped SV	3	2.65%	\$6,410.66	0.43%	\$2,136.89
Caltrans no v. contact-Lawn mower kicked up object	8	7.08%	\$4,948.90	0.33%	\$618.61
All Others	36	31.86%	\$18,457.24	1.23%	\$512.70
Total (Hierarchy Org Level 2) : 12th District	113		\$1,499,781.67		\$13,272.40

## Headquarters:

Headquarters 2012-2016 Ranking Analysis (Severity)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : Headquarters					
S.V. struck OP-Struck pedestrian	1	7.69%	\$135,000.00	49.67%	\$135,000.00
OP split lanes-Sideswiped s/v	1	7.69%	\$100,000.00	36.79%	\$100,000.00
S.V. struck OP-Rearended OP at intersection	1	7.69%	\$21,739.75	8.00%	\$21,739.75
S.V. struck OP-Unsafe backing	3	23.08%	\$7,899.00	2.91%	\$2,633.00
S.V. struck OP-Unsafe turn	1	7.69%	\$3,478.64	1.28%	\$3,478.64
OP struck S.V.-Rearended SV at intersection	1	7.69%	\$3,447.73	1.27%	\$3,447.73
Caltrans no v. contact-Lawn mower kicked up object	1	7.69%	\$220.00	0.08%	\$220.00
S.V. no contact-Property damage unknown origin	1	7.69%	\$0.00	0.00%	\$0.00
S.V. struck OP-Struck stationary object	1	7.69%	\$0.00	0.00%	\$0.00
OP struck S.V.-Rearended SV on freeway	1	7.69%	\$0.00	0.00%	\$0.00
All Others	1	7.69%	\$0.00	0.00%	\$0.00
Total (Hierarchy Org Level 2) : Headquarters	13		\$271,785.12		\$20,908.55
Total (Hierarchy Org Level 1) : Transportation	2832		\$40,552,569.33		\$14,319.41

**Frequency****District 1:**

District 1 2012-2016 Ranking Analysis (Frequency)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 1st District					
S.V. struck OP-Rearended OP at intersection	11	18.64%	\$16,186.49	0.18%	\$1,471.50
Caltrans no v. contact-Dropped load/debris from v.	8	13.56%	\$16,965.10	0.19%	\$2,120.64
S.V. struck OP-Unsafe turn	6	10.17%	\$8,543,158.08	96.17%	\$1,423,859.68
S.V. struck OP-Unsafe backing	5	8.47%	\$17,538.89	0.20%	\$3,507.78
S.V. struck OP-Unsafe lane change	4	6.78%	\$60,225.67	0.68%	\$15,056.42
OP struck S.V.-Sideswiped SV	4	6.78%	\$75,000.00	0.84%	\$18,750.00
S.V. struck OP-Struck stationary object	4	6.78%	\$5,542.77	0.06%	\$1,385.69
OP struck S.V.-Rearended SV on freeway	3	5.08%	\$80,630.00	0.91%	\$26,876.67
S.V. struck OP-Sideswiped OP	3	5.08%	\$10,982.23	0.12%	\$3,660.74
S.V. struck OP-Failure to yield right of way	3	5.08%	\$40,000.00	0.45%	\$13,333.33
All Others	8	13.56%	\$17,454.01	0.20%	\$2,181.75
Total (Hierarchy Org Level 2) : 1st District	59		\$8,883,683.24		\$150,570.90

**District 2:**

District 2 2012-2016 Ranking Analysis (Frequency)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 2nd District					
Caltrans no v.c.-K. up debris from r.w. struck OP	24	15.19%	\$4,879.91	2.33%	\$203.33
Caltrans no v. contact-Lawn mower kicked up object	20	12.66%	\$12,705.41	6.08%	\$635.27
Caltrans s/plow or sander-Sanding caused dmg to OP	20	12.66%	\$20,154.11	9.64%	\$1,007.71
Caltrans s/plow or sander-Flow pushes snow into OP	20	12.66%	\$8,882.53	4.25%	\$444.13
Caltrans no v. contact-Dropped load/debris from v.	17	10.76%	\$9,723.53	4.65%	\$571.97
S.V. struck OP-Unsafe backing	11	6.96%	\$15,648.64	7.49%	\$1,422.60
Caltrans s/plow or sander-Struck stationary object	6	3.80%	\$6,575.19	3.15%	\$1,095.87
Caltrans no vehicle contact-Overspray	5	3.16%	\$7,272.00	3.48%	\$1,454.40
S.V. struck OP-Unsafe turn	4	2.53%	\$38,374.66	18.36%	\$9,593.67
S.V. struck OP-Rearended OP on freeway	4	2.53%	\$20,862.06	9.98%	\$5,215.52
All Others	27	17.09%	\$63,978.82	30.60%	\$2,369.59
Total (Hierarchy Org Level 2) : 2nd District	158		\$209,056.86		\$1,323.14



## District 3:

District 3 2012-2016 Ranking Analysis (Frequency)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 3rd District					
Caltrans no v. contact-Lawn mower kicked up object	96	18.25%	\$110,029.39	5.93%	\$1,146.14
Caltrans no v.c.-K. up debris from r.w. struck OP	71	13.50%	\$37,528.90	2.02%	\$528.58
S.V. struck OP-Unsafe backing	44	8.37%	\$107,413.73	5.79%	\$2,441.22
Caltrans no v. contact-Dropped load/debris from v.	36	6.84%	\$21,298.46	1.15%	\$591.62
Caltrans s/plow or sander-Sanding caused dmg to OP	35	6.65%	\$19,380.89	1.04%	\$553.74
Caltrans s/plow or sander-Flow pushes snow into OP	28	5.32%	\$41,827.97	2.25%	\$1,493.86
S.V. struck OP-Unsafe turn	20	3.80%	\$328,279.85	17.68%	\$16,413.99
S.V. struck OP-Unsafe lane change	19	3.61%	\$154,621.00	8.33%	\$8,137.95
S.V. struck OP-Struck stationary object	19	3.61%	\$699,014.20	37.65%	\$36,790.22
S.V. struck OP-Rearended OP at intersection	16	3.04%	\$42,418.22	2.28%	\$2,651.14
All Others	142	27.00%	\$294,797.35	15.88%	\$2,076.04
Total (Hierarchy Org Level 2) : 3rd District	526		\$1,856,609.96		\$3,529.68

## District 4:

District 4 2012-2016 Ranking Analysis (Frequency)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 4th District					
S.V. struck OP-Rearended OP on freeway	67	13.32%	\$336,733.72	8.13%	\$5,025.88
Tow or push-Damage to OP vehicle	53	10.54%	\$77,997.33	1.88%	\$1,471.65
S.V. struck OP-Rearended OP at intersection	46	9.15%	\$396,197.60	9.56%	\$8,612.99
S.V. struck OP-Unsafe backing	45	8.95%	\$136,025.03	3.28%	\$3,022.78
Caltrans no v. contact-Lawn mower kicked up object	40	7.95%	\$56,742.39	1.37%	\$1,418.56
S.V. struck OP-Unsafe lane change	35	6.96%	\$839,079.95	20.26%	\$23,973.71
Caltrans no v.c.-K. up debris from r.w. struck OP	33	6.56%	\$13,602.34	0.33%	\$412.19
Caltrans no v. contact-Dropped load/debris from v.	29	5.77%	\$16,375.11	0.40%	\$564.66
S.V. struck OP-Failure to yield right of way	28	5.57%	\$159,934.11	3.86%	\$5,711.93
S.V. struck OP-Struck stationary object	17	3.38%	\$38,439.39	0.93%	\$2,261.14
All Others	110	21.87%	\$2,071,286.17	50.00%	\$18,829.87
Total (Hierarchy Org Level 2) : 4th District	503		\$4,142,413.14		\$8,235.41

## District 5:

District 5 2012-2016 Ranking Analysis (Frequency)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 5th District					
S.V. struck OP-Unsafe backing	21	18.75%	\$49,057.51	7.87%	\$2,336.07
Caltrans no v. contact-Lawn mower kicked up object	13	11.61%	\$10,127.29	1.63%	\$779.02
S.V. struck OP-Rearended OP at intersection	11	9.82%	\$135,516.85	21.75%	\$12,319.71
S.V. struck OP-Rearended OP on freeway	8	7.14%	\$43,070.87	6.91%	\$5,383.86
Caltrans no v. contact-Dropped load/debris from v.	8	7.14%	\$5,453.80	0.88%	\$681.73
S.V. struck OP-Sideswiped OP	7	6.25%	\$25,652.08	4.12%	\$3,664.58
S.V. struck OP-Ran red light	6	5.36%	\$33,829.66	5.43%	\$5,638.28
S.V. struck OP-Struck stationary object	5	4.46%	\$8,899.03	1.43%	\$1,779.81
S.V. struck OP-Unsafe lane change	5	4.46%	\$20,603.71	3.31%	\$4,120.74
Caltrans no v.c.-K. up debris from r.w. struck OP	5	4.46%	\$431.95	0.07%	\$86.39
All Others	23	20.54%	\$290,482.52	46.62%	\$12,629.67
Total (Hierarchy Org Level 2) : 5th District	112		\$623,125.27		\$5,563.62

## District 6:

District 6 2012-2016 Ranking Analysis (Frequency)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 6th District					
Caltrans no v. contact-Lawn mower kicked up object	43	24.71%	\$41,742.53	4.01%	\$970.76
S.V. struck OP-Unsafe backing	20	11.49%	\$15,994.34	1.54%	\$799.72
S.V. struck OP-Rearended OP at intersection	16	9.20%	\$72,786.59	7.00%	\$4,549.16
Caltrans no v. contact-Dropped load/debris from v.	15	8.62%	\$18,688.94	1.80%	\$1,245.93
S.V. struck OP-Failure to yield right of way	12	6.90%	\$37,912.35	3.64%	\$3,159.36
S.V. struck OP-Sideswiped OP	12	6.90%	\$13,952.82	1.34%	\$1,162.74
Caltrans no v.c.-K. up debris from r.w. struck OP	11	6.32%	\$9,946.60	0.96%	\$904.24
OP struck S.V.-Rearended SV on freeway	11	6.32%	\$700,000.00	67.28%	\$63,636.36
S.V. struck OP-Rearended OP on freeway	8	4.60%	\$74,624.59	7.17%	\$9,328.07
S.V. struck OP-Struck stationary object	4	2.30%	\$1,576.55	0.15%	\$394.14
All Others	22	12.64%	\$53,196.98	5.11%	\$2,418.04
Total (Hierarchy Org Level 2) : 6th District	174		\$1,040,422.29		\$5,979.44

## District 7:

District 7 2012-2016 Ranking Analysis (Frequency)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 7th District					
S.V. struck OP-Rearended OP on freeway	91	17.88%	\$7,293,603.46	44.44%	\$80,149.49
S.V. struck OP-Unsafe backing	49	9.63%	\$117,549.39	0.72%	\$2,398.97
S.V. struck OP-Rearended OP at intersection	47	9.23%	\$144,285.37	0.88%	\$3,069.90
S.V. struck OP-Unsafe lane change	41	8.06%	\$348,465.61	2.12%	\$8,499.16
S.V. struck OP-Failure to yield right of way	34	6.68%	\$190,613.78	1.16%	\$5,606.29
S.V. struck OP-Sideswiped OP	33	6.48%	\$91,177.92	0.56%	\$2,762.97
S.V. struck OP-Struck stationary object	28	5.50%	\$105,164.98	0.64%	\$3,755.89
OP struck S.V.-Unsafe lane change	27	5.30%	\$1,904,425.16	11.60%	\$70,534.27
OP struck S.V.-Rearended SV on freeway	20	3.93%	\$998,891.49	6.09%	\$49,944.57
Caltrans no v. contact-Dropped load/debris from v.	20	3.93%	\$40,276.80	0.25%	\$2,013.84
All Others	119	23.38%	\$5,179,570.25	31.56%	\$43,525.80
Total (Hierarchy Org Level 2) : 7th District	509		\$16,414,024.21		\$32,247.59

## District 8:

District 8 2012-2016 Ranking Analysis (Frequency)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 8th District					
S.V. struck OP-Unsafe backing	27	10.80%	\$49,401.53	1.16%	\$1,829.69
S.V. struck OP-Rearended OP on freeway	25	10.00%	\$2,116,743.18	49.83%	\$84,669.73
S.V. struck OP-Rearended OP at intersection	23	9.20%	\$170,087.02	4.00%	\$7,395.09
Caltrans no v.c.-K. up debris from r.w. struck OP	22	8.80%	\$17,248.30	0.41%	\$784.01
Caltrans no v. contact-Lawn mower kicked up object	20	8.00%	\$16,870.62	0.40%	\$843.53
Caltrans no v. contact-Dropped load/debris from v.	18	7.20%	\$10,124.02	0.24%	\$562.45
S.V. struck OP-Unsafe lane change	14	5.60%	\$56,391.78	1.33%	\$4,027.98
S.V. struck OP-Struck stationary object	13	5.20%	\$76,634.41	1.80%	\$5,894.95
OP struck S.V.-Rearended SV on freeway	11	4.40%	\$21,640.20	0.51%	\$1,967.29
Caltrans s/plow or sander-Flow pushes snow into OP	10	4.00%	\$11,628.79	0.27%	\$1,162.88
All Others	67	26.80%	\$1,701,273.68	40.05%	\$25,392.14
Total (Hierarchy Org Level 2) : 8th District	250		\$4,248,043.53		\$16,992.17

## District 9:

District 9 2012-2016 Ranking Analysis (Frequency)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 9th District					
Caltrans no vehicle contact-Overspray	10	25.64%	\$4,545.85	3.23%	\$454.59
Caltrans no v. contact-Lawn mower kicked up object	6	15.38%	\$6,115.78	4.34%	\$1,019.30
Caltrans snow plow or sander-Struck parked vehicle	5	12.82%	\$65,750.00	46.69%	\$13,150.00
Caltrans no v.c.-K. up debris from r.w. struck OP	3	7.69%	\$1,826.20	1.30%	\$608.73
Caltrans snow plow or sander-Sideswiped OP	2	5.13%	\$4,000.00	2.84%	\$2,000.00
S.V. struck OP-Sideswiped OP	2	5.13%	\$184.03	0.13%	\$92.02
S.V. struck OP-Unsafe backing	2	5.13%	\$3,543.00	2.52%	\$1,771.50
Caltrans no v. contact-Dropped load/debris from v.	2	5.13%	\$1,636.96	1.16%	\$818.48
OP struck S.V.-Failure to yield right of way	1	2.56%	\$0.00	0.00%	\$0.00
OP struck S.V.-Rearended SV at intersection	1	2.56%	\$0.00	0.00%	\$0.00
All Others	5	12.82%	\$53,234.66	37.80%	\$10,646.93
Total (Hierarchy Org Level 2) : 9th District	39		\$140,836.48		\$3,611.19

## District 10:

District 10 2012-2016 Ranking Analysis (Frequency)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 1 : Transportation					
Hierarchy Org Level 2 : 10th District					
Caltrans no v. contact-Lawn mower kicked up object	42	21.65%	\$21,314.62	2.49%	\$507.49
Caltrans no v. contact-Dropped load/debris from v.	21	10.82%	\$18,410.03	2.15%	\$876.67
S.V. struck OP-Unsafe backing	20	10.31%	\$45,833.62	5.35%	\$2,291.68
Caltrans no v.c.-K. up debris from r.w. struck OP	18	9.28%	\$5,305.44	0.62%	\$294.75
S.V. struck OP-Rearended OP at intersection	13	6.70%	\$45,343.55	5.29%	\$3,487.97
S.V. struck OP-Failure to yield right of way	12	6.19%	\$364,969.77	42.60%	\$30,414.15
S.V. struck OP-Unsafe lane change	6	3.09%	\$89,605.55	10.46%	\$14,934.26
S.V. struck OP-Sideswiped OP	6	3.09%	\$936.91	0.11%	\$156.15
OP struck S.V.-Rearended SV on freeway	6	3.09%	\$0.00	0.00%	\$0.00
S.V. struck OP-Struck stationary object	5	2.58%	\$20,063.19	2.34%	\$4,012.64
All Others	45	23.20%	\$244,860.29	28.58%	\$5,441.34
Total (Hierarchy Org Level 2) : 10th District	194		\$856,642.97		\$4,415.69

## District 11:

District 11 2012-2016 Ranking Analysis (Frequency)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 11th District					
S.V. struck OP-Unsafe backing	27	14.84%	\$32,650.37	8.92%	\$1,209.27
Caltrans no v.c.-K. up debris from r.w. struck OP	26	14.29%	\$4,629.84	1.26%	\$178.07
Caltrans no v. contact-Dropped load/debris from v.	25	13.74%	\$47,282.31	12.91%	\$1,891.29
S.V. struck OP-Rearended OP on freeway	14	7.69%	\$85,645.78	23.39%	\$6,117.56
S.V. struck OP-Struck stationary object	11	6.04%	\$20,403.69	5.57%	\$1,854.88
S.V. struck OP-Rearended OP at intersection	11	6.04%	\$65,306.54	17.84%	\$5,936.96
Caltrans no v. contact-Lawn mower kicked up object	9	4.95%	\$2,130.55	0.58%	\$236.73
S.V. struck OP-Unsafe lane change	8	4.40%	\$21,096.34	5.76%	\$2,637.04
S.V. struck OP-Sideswiped OP	7	3.85%	\$6,539.26	1.79%	\$934.18
S.V. struck OP-Unsafe turn	6	3.30%	\$42,513.10	11.61%	\$7,085.52
All Others	38	20.88%	\$37,946.81	10.36%	\$998.60
Total (Hierarchy Org Level 2) : 11th District	182		\$366,144.59		\$2,011.78

## District 12:

District 12 2012-2016 Ranking Analysis (Frequency)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : 12th District					
S.V. struck OP-Rearended OP at intersection	18	15.93%	\$52,845.38	3.52%	\$2,935.85
S.V. struck OP-Rearended OP on freeway	16	14.16%	\$135,248.98	9.02%	\$8,453.06
Caltrans no v. contact-Dropped load/debris from v.	13	11.50%	\$4,647.07	0.31%	\$357.47
S.V. struck OP-Unsafe lane change	9	7.96%	\$153,528.64	10.24%	\$17,058.74
Caltrans no v.c.-K. up debris from r.w. struck OP	9	7.96%	\$4,353.32	0.29%	\$483.70
Caltrans no v. contact-Lawn mower kicked up object	8	7.08%	\$4,948.90	0.33%	\$618.61
S.V. struck OP-Sideswiped OP	8	7.08%	\$35,356.96	2.36%	\$4,419.62
S.V. struck OP-Unsafe backing	8	7.08%	\$38,464.67	2.56%	\$4,808.08
OP struck S.V.-Rearended SV on freeway	4	3.54%	\$0.00	0.00%	\$0.00
OP struck S.V.-Sideswiped SV	3	2.65%	\$6,410.66	0.43%	\$2,136.89
All Others	17	15.04%	\$1,063,977.09	70.94%	\$62,586.89
Total (Hierarchy Org Level 2) : 12th District	113		\$1,499,781.67		\$13,272.40

## Headquarters:

Headquarters 2012-2016 Ranking Analysis (Frequency)					
Cause	No. of Claims	% of Total	Total Incurred	% of Total	Average Incurred
Hierarchy Org Level 2 : Headquarters					
S.V. struck OP-Unsafe backing	3	23.08%	\$7,899.00	2.91%	\$2,633.00
S.V. struck OP-Unsafe turn	1	7.69%	\$3,478.64	1.28%	\$3,478.64
OP struck S.V.-Rear-ended SV at intersection	1	7.69%	\$3,447.73	1.27%	\$3,447.73
OP struck S.V.-Rear-ended SV on freeway	1	7.69%	\$0.00	0.00%	\$0.00
OP struck S.V.-Sideswiped SV	1	7.69%	\$0.00	0.00%	\$0.00
O/P split lanes-Sideswiped s/v	1	7.69%	\$100,000.00	36.79%	\$100,000.00
Caltrans no v. contact-Lawn mower kicked up object	1	7.69%	\$220.00	0.08%	\$220.00
S.V. no contact-Property damage unknown origin	1	7.69%	\$0.00	0.00%	\$0.00
S.V. struck OP-Rear-ended OP at intersection	1	7.69%	\$21,739.75	8.00%	\$21,739.75
S.V. struck OP-Struck pedestrian	1	7.69%	\$135,000.00	49.67%	\$135,000.00
All Others	1	7.69%	\$0.00	0.00%	\$0.00
Total (Hierarchy Org Level 2) : Headquarters	13		\$271,785.12		\$20,906.55
Total (Hierarchy Org Level 1) : Transportation	2832		\$40,552,569.33		\$14,319.41

## 6. Caltrans Open Claims

As of March 1, 2017, ORIM is working on 142 open and reopened claims with an incurred value of \$11,119,680.

Details of the 3 largest open claims:

Claimant	Total Incurred*	Details
#13061297	\$2,005,000	<ul style="list-style-type: none"> <li>State operator rear-ended plaintiff</li> <li>100% liability</li> <li>Plaintiff has not worked since date of loss. Has had one lumbar surgery, potential second surgery in the future.</li> </ul>
#13059625	\$4,250,000	<ul style="list-style-type: none"> <li>State Operator ran a red light collided with plaintiff vehicle.</li> <li>100% liability</li> <li>Serious injuries – NFT-1 syndrome, seizures, traumatic brain injury</li> </ul>
#15004008	\$1,500,000	<ul style="list-style-type: none"> <li>State operator hit pedestrian in homeless encampment, failed to clear area.</li> <li>Serious injuries – fractured pelvis, left hip trauma, left hip replacement future surgery</li> </ul>

\*Total Incurred = the amount paid + reserves. For the purposes of this report, the incurred does not include expenses.

The severity of Caltrans' claims has increased significantly over the past five (5) years as noted below in the claim examples:

**ORIM Claim Number: 13061280**

- Date of Loss: 10/09/2013
- Facts of Loss: State Operator rear-ended vehicle #1, causing fatal injuries to the driver, pushing this vehicle into vehicle #2.
- Total liability payments for all claimants: \$6,808,161

**ORIM Claim Number: 13060948**

- Date of Loss: 09/16/2013
- Facts of Loss: State Operator made an unsafe left turn in front of plaintiff's vehicle. Serious injuries to both the plaintiff vehicle driver and passenger
- Total liability payments for all claimants: \$8,510,149

**ORIM Claim Number: 12054118**

- Date of Loss: 04/17/2012
- Facts of Loss: Plaintiff vehicle rear-ended the State owned vehicle with the attenuator in the up position. Plaintiff suffered fatal injuries. The State of California paid for wrongful death claims to the parents of the fatality victim.
- Total liability payments for all claimants: \$980,000

**ORIM Claim Number: 12054678**

- Date of Loss: 06/11/2012
- Facts of Loss: State operator made an unsafe left turn in front of plaintiff vehicle. Minor injuries sustained to plaintiff. The State was unable to resolve this case through mediation or mandatory settlement conferences due to the high demand from plaintiff attorney. Caltrans Legal Affairs litigated this case in trial. Jury verdict came back adverse to the State of California.
- Total liability payments for all claimants: \$1,005,533

**ORIM Claim Number: 13060704**

- Date of Loss: 08/29/2013
- Facts of Loss: State operator ran red light, collided with plaintiff's vehicle making a left turn on a green light. Plaintiff had serious injuries including surgery on their neck. Case was settled through private mediation with plaintiff's counsel.
- Total liability payments for all claimants: \$1,225,000

## 7. Conclusion

In conclusion, due to the increase in the number of claims, and the significant increase in the severity of those claims, Caltrans will be paying a larger premium assessment through the MVIA Fund for the 17/18 FY. Specific to claim #13059625 (page 18 table), because we were recently made aware of issues impacting the reserves, ORIM will not have the opportunity to charge annually through the MVIA assessment the amount necessary to recuperate the cost of the claim. As a result we are including a one-time charge of \$4,250,000. If the claim settles for more or less than \$4,250,000, we will adjust the 18/19 FY accordingly. By paying the full value of the claim, this allows the fund to be replenished accordingly.